InteractiveBrokers

DAM Funds and Banking Request Form

IBKR's Client Portal has been carefully engineered to meet our requirements and any request to outsource the Portal workflow requires additional approval.

- To proceed with approval process for DAM Funds and Banking, please complete the below form electronically.
- Provide the completed form to dam@ibkr.com with the account number. IBKR will complete a preliminary review and reach out with next steps within 3-4 business days.

Company Name _____ Company Website:_____

Account Type:_____ Registration Type: _____ Country of Registration: _____

Sales Rep at IBKR: _____Primary Contact at Company: _____

For Existing IBKR Clients Only:

Account Number:_____ Account Title:_____ Date Opened: _____

Date Funded: _____ Number of Active Clients at IBKR: _____ AUM at IBKR: _____

Please review the available functions and select which functions you would like to be approved for.

☐ **Deposit Funds**

☐ **Get Withdrawable Cash**

☐ **Get Instruction Name**

☐ **Reopen Account**

☐ **Close Account**

☐ **Get Fee Template**

☐ **Set Fee Template:** The client will still need to login to Client Portal to accept the fee IF the fee is being increased or fee type is being changed.

☐ **Withdraw Funds**: Only if standing instruction is in place on the account. Instructions will need to be added in the IBKR Portal by the Account Holder. Withdrawal Instructions **cannot** be added using DAM Fb.

- Provide approximate number of Internal requests sent Monthly: _____
- Use Case:_____

_____

☐ **Internal Position Transfer**

- Provide approximate number of Internal requests sent Monthly: _____
- Use Case:_____

_____

☐ **Internal Cash Transfer**

- Provide approximate number of Internal requests sent Monthly: _____
- Use Case:_____

_____

Internal Transfer (Cash/Position) Restrictions for Fully-Disclosed and Advisor Clients:

- The Account Titles of both accounts must match.
- The country of legal residence of both accounts must - match.
- For US customers, the US tax ID (Social Security number) of both accounts must match.
- For non-US Individual customers, the ID number, ID type (passport, driver's license, national ID, or alien ID) and ID-issuing country must match. For joint non-US customers, the IDs of both first and second holder must match.
- Customers with linked accounts can use this type of transfer. However, customers with multiple accounts that are eligible to be linked but are not currently linked cannot use this type of transfer